

National Stock Exchange of India

Circular

Department: COMPLIANCE	
Download Ref No: NSE/COMP/54354	Date: November 10, 2022
Circular Ref. No: 80/2022	

To All Members,

Sub: Registration of the Reporting Entities (REs) in FINnet 2.0 System and Compliance to the Supplementary Guidelines issued by FIU in 2022

The Exchange is in receipt of communication from FIU dated November 07, 2022, regarding the Registration of the Reporting Entities (REs) in FINnet 2.0 system.

Exchange vide it's Circular no. NSE/COMP/53640 dated September 12, 2022, had directed all Reporting Entities (REs) (including the Stock-Brokers) registered in FINnet 1.0 to re-register themselves in FINnet 2.0 module.

FIU has raised serious concerns over the poor progress of the registration of Stock-Brokers (Trading members) in FINnet 2.0 system.

In this regard, it is informed that the reporting platform under FINnet 2.0 is expected to be 'Live' very soon. Once the reporting platform under FINnet 2.0 becomes operational, REs will not be able to file any STRs in the reporting platform of FINnet 1.0. Therefore, all the registered Stock-Brokers (Trading members) are required to get themselves registered in FINnet 2.0 mandatorily by November 15, 2022, otherwise, it will be treated as non-compliance with the Reporting Entity's obligations under PMLA.

All registered Stock-Brokers (Trading members) are advised to provide the status of their registration on dl-compl-mem@nse.co.in with the subject "Registration in FINnet 2.0 system".

In case any RE faces any issues or have any suggestions/ feedback while accessing and using the FINGate 2.0 portal, you may please reach out to the helpdesk team at the following details:

Email id – helpdesk-re@fiuindia.gov.in

Contact numbers:

- 011-23463691
- 011-23463692

- 011-23463693

Trading Members are advised to take note and comply with the above guidelines.

**For and on behalf of
National Stock Exchange of India Limited**

**Pradnya Pinto
Chief Manager**